


| | | |
|--|--|--|
| Search Notes  | Application/Control No. 10703978 | Applicant(s)/Patent Under Reexamination SAVASOGLU ET AL. |
| | Examiner See, Carol | Art Unit 3693 |

| SEARCHED | | | |
|----------|----------|--------|----------|
| Class | Subclass | Date | Examiner |
| 705 | 36R | 8/8/07 | cas |

| SEARCH NOTES | | |
|--|-----------------|----------|
| Search Notes | Date | Examiner |
| Requested STIC search and see EAST search (convertible near3 security) | 8/7/07 | cas |
| SEE EAST search | 8/8/07 | cas |
| Consulted Hani Kazimi re general info and search strategy | 8/8/07 | cas |
| Assignee search | 8/8,9/07 | cas |
| Submitted PLUS search - terms, conditions, rollover | 8/8/07 | cas |
| See EAST search history | | |
| Searched Interent for revenue ruling | 8/16/07 | cas |
| Google search for "contingent conversion" - found handbook of fixed income securities by Frank Fabozzi | 8/16/07 | cas |
| Google search for - remarketing, contingent convertible - found IRS ruling 2002-31 | 8/21/07 | cas |
| Consulted Kazimi re 105 and Weinhardt re restriction and 101 | 8/18/07 | cas |
| Consulted Jonathan Sterett re search | 8/24/07 | cas |
| Consulted Bob Weinhardt re nonfunctional language; Subramanian re convertible securities | 9/5/07 | cas |
| EAST update | 9/5/08 | cas |
| EAST RCE | 4/14,27,28/2009 | cas |
| EAST | 3/13/2010 | cas |

| INTERFERENCE SEARCH | | | |
|---------------------|----------|------|----------|
| Class | Subclass | Date | Examiner |
| | | | |

| | |
|---------------------------------------|--|
| /Carol See/ Examiner.Art Unit 3693 | |
|---------------------------------------|--|